



**New York State
Public Officer / Public Employee / Party Officer
Information Disclosure Form**

Instructions

New York Racing, Pari-Mutuel Wagering and Breeding Law § 107 sets forth certain restrictions on New York State Gaming Commission licensure of a “public officer,” a “public employee,” or a “party officer.” Those positions are defined in Racing Law § 101 as follows:

1. "Public officer" shall mean every elected state and local officer and every other state and local officer, as defined in section two of the public officers law, whose duties relate to pari-mutuel racing activities or the taxation thereof, who is required to devote all or substantially all of his or her time to the duties of his or her office for which he or she receives compensation or if employed on a part-time or other basis receives compensation in excess of twelve thousand dollars per annum, a member or officer of the state legislature, a member, director or officer of the state gaming commission, or any regional off-track betting corporation, or a member of a local legislative body.
2. "Public employee" shall mean every person employed by the state or any municipality or other political subdivision thereof or by a local legislative body, other than a public officer defined in subdivision one of this section, who is required to devote all or substantially all of his or her time to the duties of his or her employment for which he or she receives compensation, or if employed on a part-time basis receives compensation in excess of twelve thousand dollars per annum, or an employee of the state legislature or an employee of the state gaming commission.
3. "Party officer" shall mean the following members or officers of any political party:
 - (a) a member of a national committee;
 - (b) a chairman, vice-chairman, secretary, treasurer or counsel of a state committee, or member of the executive committee of a state committee;
 - (c) a county leader, chairman, vice-chairman, counsel, secretary or treasurer of a county committee.

For the Commission to apply the law to your application, please complete the New York State Public Officer / Public Employee / Party Officer Information Disclosure Form if you hold any of the following positions:

- an elected State or local officer in New York State;
- a State or local officer (as defined in New York Public Officers Law section 2);
- a member or officer of the New York State Legislature;
- a member, director or officer of any regional off-track betting corporation;
- a member of a local legislative body in New York State;
- employee of New York State;
- employee of any municipality (or other political subdivision) in New York State;
- employee of any local legislative body in New York State;
- employee of the New York State Legislature;
- employee of any New York State or local board, agency, authority or other New York State or local governmental body,
- employee of any regional off-track betting corporation;
- a member of a national committee of any political party;
- chairman, vice-chairman, secretary, treasurer, counsel, or executive committee member of a State committee of any political party; or
- a county leader, chairman, vice-chairman, counsel, secretary or treasurer of a county committee of any political party.



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Last Name:	First Name:
SSN (last four digits only):	Date Submitted:
Employer or Political Party:	Position Held:
Term of Position:	Length Position Held:
Annual Salary: \$	Full or Part Time:
Duties and Responsibilities of your Employer:	

Please read each question carefully prior to answering. If you check “Yes” to <u>any</u> question, attach a narrative response explaining your answer. The number(s) of the question(s) which you are answering must be stated immediately prior to your explanation.		
1.	In addition to the above response, does your employer have duties that relate to the conduct of legalized gaming activities (such as pari-mutuel racing or any other gaming activities) or the taxation thereof? (If employer has only general enforcement of gaming activities through the Penal Law and other criminal gambling provisions, without specific duties relating to gaming, please check the “No” box.)	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	In your position, do you have duties that relate to the conduct of legalized gaming activities (such as pari-mutuel racing or any other gaming activities) or the taxation	<input type="checkbox"/> Yes <input type="checkbox"/> No

	thereof? (If your duties concerning gaming activities relate only to general enforcement of the Penal Law and other criminal gambling provisions, without specific duties relating to gaming, please check the “No” box.)	
3.	Do you own or hold, directly or indirectly, any proprietary interest, stock or obligation, of any firm, association or corporation that is licensed by the Commission to conduct video lottery gaming or horse racing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.	Do you hold any office or employment with any firm, association or corporation that is licensed by the Commission to conduct video lottery gaming or horse racing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5.	Do you own or hold, directly or indirectly, any proprietary interest, stock or obligation of any firm, association or corporation that conducts its occupation, trade, or business at a racetrack at which pari-mutuel race meets are conducted or facility where video lottery gaming activity is conducted, whether or not a license is required?	<input type="checkbox"/> Yes <input type="checkbox"/> No
6.	Do you hold any office or employment with, any firm, association or corporation that conducts its occupation, trade, or business at a racetrack at which pari-mutuel race meets are conducted or facility where video lottery gaming activity is conducted, whether or not a license is required?	<input type="checkbox"/> Yes <input type="checkbox"/> No
7.	Do you own or hold, directly or indirectly, any proprietary interest, stock or obligation of any firm, association or corporation that owns or leases to any enfranchised or licensed association or corporation a racetrack at which pari-mutuel racing is conducted or facility where video lottery gaming activity is conducted?	<input type="checkbox"/> Yes <input type="checkbox"/> No
8.	Do you hold any office or employment with any firm, association or corporation that owns or leases to any enfranchised or licensed association or corporation a racetrack at which pari-mutuel racing is conducted or facility where video lottery gaming activity is conducted?	<input type="checkbox"/> Yes <input type="checkbox"/> No
9.	Do you own or hold, directly or indirectly, any proprietary interest, stock or obligation of any firm, association or corporation that participates in the management of any franchise holder or licensee conducting video lottery gaming or horse racing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
10.	Do you hold any office or employment with any firm, association or corporation that participates in the management of any franchise holder or licensee conducting video lottery gaming or horse racing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
11.	Do you sell, are you a member of a firm that sells, or do you own 10 percent or more of the stock of any corporation that sells, any goods or services to any firm, association or corporation that is licensed by the commission to conduct video lottery gaming or horse racing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No
12.	Do you sell, or are you a member of a firm that sells, or do you own 10 percent or more of the stock of any corporation that sells, any goods or services to any firm, association or corporation that conducts its occupation, trade, or business	<input type="checkbox"/> Yes <input type="checkbox"/> No

	at a racetrack at which pari-mutuel race meets are conducted or facility where video lottery gaming activity is conducted whether or not a license is required?	
13.	Do you sell, or are you a member of a firm that sells, or do you own 10 percent or more of the stock of any corporation that sells, any goods or services to any firm, association or corporation that owns or leases to any enfranchised or licensed association or corporation a racetrack at which pari-mutuel racing is conducted or facility where video lottery gaming activity is conducted?	<input type="checkbox"/> Yes <input type="checkbox"/> No
14.	Do you sell, or are you a member of a firm that sells, or do you own 10 percent or more of the stock of any corporation that sells, any goods or services to any firm, association or corporation that participates in the management of any franchise holder or licensee conducting video lottery gaming or horse racing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No

Please read each question carefully prior to answering.		
15.	Are you a police officer or a peace officer employed by a sheriff's office, district attorney's office or other State or local law enforcement agency?	<input type="checkbox"/> Yes <input type="checkbox"/> No
16.	Are you employed in a position classified as a management confidential employee pursuant to section two hundred fourteen of the Civil Service Law and employed by a State or local law enforcement agency or regional off-track betting corporation?	<input type="checkbox"/> Yes <input type="checkbox"/> No

Applicant's Signature:	Date: